

# IMMUNONANOPARTICLES

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

[0001] The present invention relates generally to compositions that are used to provide targeted delivery of drugs and diagnostic agents. More particularly, the present invention is directed to nanoparticle compositions referred to as “immunonanoparticles” that may be used to provide targeted delivery of a wide variety of payloads including small molecules, proteins, drugs and diagnostic agents. The invention is also directed to the compositions that are used in the preparation of such immunonanoparticles.

### 2. Description of Related Art

[0002] The publications and other reference materials referred to herein to describe the background of the invention and to provide additional detail regarding its practice are hereby incorporated by reference. For convenience, the reference materials are grouped in the appended bibliography.

[0003] Targeted drug delivery using nanocontainers, such as liposomes or nanoparticles, requires that the nanocontainer be delivered to the target organ in parallel with restricted uptake by non-targeted tissues. Liposomes or nanoparticles are rapidly removed from the bloodstream by cells lining the reticulo-endothelial (RES) system, and this greatly reduces the area under the plasma concentration curve (AUC) and pharmacological effect. The rapid RES uptake can be reduced, and the plasma AUC increased, by conjugating to the surface of the liposome several thousand polymeric strands, such as polyethyleneglycol (PEG) (1). Pegylated liposomes are not specifically targeted to tissues, but this can be accomplished by tethering to the tips of 1-2% of the PEG strands a targeting ligand, such as a receptor-specific monoclonal antibody. The pegylated immunoliposome (PIL) can be delivered across microvascular barriers by receptor-mediated transcytosis (2).

[0004] Nanoparticles have certain advantages relative to liposomes since nanoparticles can be freeze-dried for long-term storage. Nanoparticles are prepared from polymeric precursors such as poly(lactic acid) (PLA) homopolymer or poly(lactic-co-glycolic acid) (PLGA) heteropolymer. PLA and PLGA are biocompatible and biodegradable polyesters (3). Similar to liposomes, nanoparticles are rapidly removed from the blood by the RES, and this uptake is inhibited by conjugation of PEG to the nanoparticle surface (4-6). Pegylated nanoparticles (PN) are formed by a hydrophobic PLA core which is surrounded by a hydrophilic PEG “corona” layer (5). These can be freeze-dried (4) and

loaded with small molecules (7), proteins (8) or plasmid DNA (9). Nanoparticles made of methoxyPEG<sub>2000</sub>-PLA<sub>30000</sub> with respective molecular weights of 2000 and 30,000 Daltons for the methoxyPEG and PLA moieties, were shown to have an acceptable safety profile in rats (10). Pegylated PLA nanoparticles have a prolonged plasma half-life ( $t_{1/2}$ =6h) in comparison with PLA nanoparticles coated with albumin or with poloxamer F68 ( $t_{1/2}$ =2-3 min) (6).

[0005] Pegylated nanoparticles (PN), like pegylated liposomes, are not specifically targeted to tissues in vivo, as this requires the attachment of a targeting ligand to the tip of the PEG strands (2). Targeting ligands, such as endogenous peptides or peptidomimetic MAb's, can be conjugated to the PEG strands of preformed PN's, if a fraction of the PEG strands contains a terminal reactive group. Yasugi et al. (11) synthesized sugar-terminated PEG-PLA block copolymers, using a multi-step method that was not adapted to the conjugation of protein ligands, such as antibodies. Most targeting ligands are peptide or protein macromolecules, and mild reaction conditions are necessary to preserve their biological and receptor binding activity. Pegylated immunoliposomes (PIL) are prepared by conjugating thiolated antibodies to pegylated liposomes, wherein a small fraction of the PEG polymer is comprised of a bifunctional PEG, that contains a lipid group such as distearoylphosphatidylethanolamine (DSPE) at one terminus and a reactive maleimide moiety at the other terminus (2). The maleimide function reacts with thiolated proteins in conditions that maintain their biological and binding properties.

#### SUMMARY OF THE INVENTION

[0006] The present invention is based on the discovery of special functionalized compositions that can be used to form nanoparticle compositions (immunonanoparticles) that are useful as a delivery system for a variety of payloads including drugs and diagnostic agents. The functionalized compositions include a polymeric strand that has a nanoparticle-forming polymer attached to one end and a conjugation agent attached to the other end. The conjugation agent is capable of binding to targeting agents, such as endogenous peptides or peptidomimetic monoclonal antibodies (MAb).

[0007] The functionalized compositions can be used with other nanoparticle-forming entities to form immunonanoparticles. In one aspect of the invention, the functionalized compositions are combined with non-functionalized compositions to form immunonanoparticles. The non-functionalized compositions include a nanoparticle-forming polymer and a polymeric strand without the conjugation agent. The functionalized and non-functionalized compositions are combined together to form a nanoparticle composition having a core that is surrounded by a corona of polymeric strands with conjugation agents being attached to some of the strands. Targeting agents are attached to the

conjugation agents to provide targeting of the nanoparticle composition to desired receptor sites. The desired drug or diagnostic payload, if any, is incorporated into the polymeric core of the nanoparticle composition during formation of the core.

[0008] As one feature of the present invention, it was discovered that immunonanoparticles could be formed such that the conjugation agents and attached targeting agents protrude above the corona that surrounds the particle core. This is accomplished by combining non-functionalized compositions having relatively short polymeric strands with functionalized compositions having relatively long polymeric strands. The strands of the non-functionalized compositions form the body of the protective corona around the nanoparticle while the strands of the functionalized compositions extend above the corona to provide enhanced exposure of the conjugation agent for binding with various targeting agents.

[0009] The above described and many other features and attendant advantages of the present invention will become better understood by reference to the following detailed description when taken in conjunction with the accompanying drawings

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0010] **FIG. 1** is a diagrammatic representation showing the step of combining functionalized and non-functionalized compositions together in accordance with a preferred exemplary embodiment of the present invention to provide a nanoparticle composition that includes a core composed of nanoparticle-forming polymers (NPFP) and a drug or diagnostic agent plus a corona surrounding the core. The corona includes polymeric short strands (PSS) and polymeric long strands (PSL) and conjugation agents (CA) attached to some of the strands.

[0011] **FIG. 2** is a diagrammatic representation of a nanoparticle composition (immunonanoparticle) in accordance with the present invention that further includes targeting agents (TA) that are attached to the conjugation agents.

[0012] **FIG. 3 (A)** is a schematic diagram of bi-functional PEG<sub>3500</sub> containing a free hydroxyl group at one terminus, for conjugation to the PLA, and a maleimide moiety at the other terminus, for conjugation to a thiolated targeting ligand. PEG<sub>3500</sub>= polyethyleneglycol of 3500 Daltons molecular weight. **(B)** is a diagram of a pegylated immunonanoparticle showing drug encapsulated within an 100-150 nm nanoparticle containing a hydrophilic corona of several thousand strands of PEG.

About 1-2% of the PEG strands are conjugated with a receptor (R)-specific targeting monoclonal antibody (MAb).

[0013] FIG. 4 (A) shows the formula and  $^1\text{H}$  NMR spectrum of methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> copolymers in deuteriochloroform at 300.0K. (B) shows the formula and  $^1\text{H}$  NMR spectrum of maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> block copolymers in deuteriochloroform at 300.0K.

[0014] FIG. 5 is a transmission electron micrograph of pegylated nanoparticles negatively stained with phosphotungstic acid solution. The magnification bar in lower right hand corner is 120 nm.

[0015] FIG 6 shows the elution profile of [ $^3\text{H}$ ]-OX26 MAb-conjugated pegylated immunonanoparticles obtained by Sepharose CL-4B gel filtration chromatography. Fractions 8 to 11 correspond to [ $^3\text{H}$ ]-OX26 MAb-conjugated PINs and fractions 17 to 32 to unconjugated [ $^3\text{H}$ ]-OX26 MAb.

[0016] FIG. 7 is a transmission electron micrograph of pegylated immunonanoparticles negatively stained with phosphotungstic acid solution. The OX26 antibodies conjugated to the pegylated nanoparticles are revealed by binding with a conjugate of 10 nm gold and an anti-mouse IgG secondary antibody. The magnification bar is 15 nm.

#### DETAILED DESCRIPTION OF THE INVENTION

[0017] A functionalized composition in accordance with the present invention is shown diagrammatically in FIG. 1 at 10. The functionalized composition includes a nanoparticle-forming polymer (NPFP), a polymeric strand (PSL) and a conjugation agent (CA). The functionalized composition may be used alone or in combination with a variety of other entities to form immunonanoparticles. For the purposes of this specification, "immunonanoparticles" include any particle that has a diameter of 1000 nanometers or less and which includes a targeting agent (TA) that is capable of selectively binding to a biological moiety, such as an antigen or cellular receptor. The immunoparticle may or may not include a payload, such as a drug or diagnostic agent. Preferred immunonanoparticles have diameters that are less than 300 nanometers. Particularly preferred immunonanoparticles have diameters that are in the range of 50 to 150 nanometers.

[0018] In a preferred exemplary embodiment as shown diagrammatically in FIG. 1, the functionalized composition 10 is combined with non-functionalized compositions 20 and a suitable

drug or diagnostic agent to form a nanoparticle compositions 30. The non-functionalized composition also includes a nanoparticle-forming polymer (NPFP) and a polymeric short strand (PSS). The non-functionalized composition does not include a conjugation agent. The PSS polymeric strand of the non-functionalized composition is preferably shorter than the polymeric long strand (PSL) of the functionalized composition. The two compositions and drug or diagnostic agent are combined according to well-known polymerization procedures to form the immunonanoparticle 30. Although the use of a non-functionalized composition of the type shown at 20 is preferred, other non-functionalized nanoparticle forming entities may be combined with the functionalized composition to form the immunonanoparticle. For example, all or a portion of the non-functionalized composition may include a NPFP without an attached polymeric strand or other types of corona forming ligands can be attached to the NPFP.

[0019] The final immunonanoparticle, as shown diagrammatically in FIG. 2, is formed by treating the nanoparticle composition 30 with a targeting agent. If desired, the targeting agent can be attached to the functionalized composition 10 before it is combined with the non-functionalized composition 20.

[0020] The PSL portion of the functionalized composition 20 is preferably polyethylene glycol (PEG). Other suitable PSL portions include polymer strand forming materials such as sphingomyelin. The molecular weight of the polymer strand (PSL) should be such that the conjugation agent and targeting agent can be attached to the outer (second) end of the PSL without interfering with formation of the immunonanoparticle and such that the targeting agent is sufficiently distant from the nanoparticle core that it is able to bind or otherwise react with the intended target moiety or site. For PEG polymeric strands, preferred molecular weights range from about 1,000 to 50,000 daltons. A particularly preferred molecular weight range for a PEG PSL is between 3,000 and 20,000 daltons. The PSL must be bifunctional in that it must have a first end that is attachable to the NPFP and a second end that is attachable to a conjugation agent. This is preferably accomplished by providing a free hydroxy group at the first end of the PSL which allows conjugation with the NPFP. A methoxy or other similar group is preferably located at the second end of the PSS. The CA is located at the second end of the PSL. The particular chemistry that is used to provide bifunctionality to the PSL is not particularly important provided that the NPFP is attached to one end and the CA to the other without adversely affecting the ability of the NPFP to form polymeric nanoparticles and without adversely affecting the ability of the CA to bind to targeting agents (TA).

[0021] Poly(lactic acid) is a preferred NPFP. Other suitable NPFP's include poly(glycolide), poly(lactide-co-glycolide), polybutylcyanoacrylate (PBCA), poly( $\epsilon$ -caprolactone) (PCL), monomethoxypoly(ethylene oxide)-poly(lactic acid) (MPEO-PLA), hexadecylcyanoacrylate (PHDCA). The molecular weight of the NPFP should be such that, when polymerized, the NPFP is capable of forming nanoparticles having sizes of less than 1000 nanometers and preferable in the range of 50 to 150 nanometers. Preferred poly(lactic acid) for use as the NPFD will have molecular weights of between about 20,000 and 60,000 daltons. Molecular weights on the order of 40,000 daltons are particularly preferred. The NPFP is attached to the PSL using known conjugation techniques that will vary depending upon the particular NPFP and PSL.

[0022] A wide variety of conjugation agents may be attached to the second end of the polymeric strand (PSL). Maleimide is a preferred conjugation agent, as this forms a stable thio-ether linkage with thiolated TA. Other suitable conjugation agents include a carboxyl moiety, as this forms an amide linkage with an amino group on the TA, an N-hydroxy succinimide ester, as this forms an amide linkage with an amino group on the TA, a hydrazide group, as this forms a linkage with a carboxyl group on the TA.

[0023] Non-functionalized compositions 20 are basically the same as the functionalized compositions described above except that they do not include a conjugation agent. In addition, it is preferred that the polymeric strand of the non-functionalized compositions (PSS) be shorter than the PSL of the functionalized compositions. The non-functionalized compositions are made in the same manner as the functionalized compositions except that the conjugation agent is not substituted or otherwise added to the second end of the PSS. The second end is preferably terminated with a methoxy group. However, it may be terminated with any suitable end group. The non-functionalized composition may be made from the same NPFP and polymer strand materials as the functionalized composition. For a particular immunonanoparticle, the NPFP of the non-functionalized and functionalized compositions may be the same or they may be different. In addition, the polymers used to form the polymeric strands may be the same or different. Preferably, the NPFP and polymeric strand materials will be the same for both the functionalized and non-functionalized compositions. Poly(lactic acid) is a preferred NPFP and PEG is a preferred PSS for non-functionalized compositions.

[0024] The length of the PSL and PSS polymeric strands may be the same or they may be different. It is preferred that the PSL polymeric strand be longer than the PSS strand in order to make the CA and attached TA more accessible to the target. The difference in length between the PLS and PSS will depend upon the particular CA and TA that is used for a particular immunonanoparticle. The

difference in length should be chosen so that the TA is exposed above the corona surface sufficiently to allow target binding. For PEG polymeric strands, it is preferred that the PSS have a molecular weight that is between 200 and 2000 daltons less than the molecular weight of the PSL.

[0025] The relative amounts of non-functionalized and functionalized compositions that are combined to form the immunonanoparticle may be varied depending upon a number of factors including the binding strength of the TA with the target as well as the size and chemical reactivity of the various elements of the compositions. In general, the amount of functionalized composition in the immunonanoparticle will be substantially less than the amount of non-functionalized composition. The ratio of functionalized composition to non-functional composition will typically be 1:30. Preferably, the ratio of functionalized composition to non-functionalized composition will be between 1:5 and 1:100.

[0026] The functionalized 10 and non-functionalized 20 compositions are preferably combined together as shown in FIG. 1 to form a nanoparticle composition 30. The desired drug or diagnostic agent, if any, is incorporated into the nanoparticle core during formation of the nanoparticle composition 30 as is well known in the art. The resulting nanoparticle composition is ready for combination with a targeting agent and production of drug encapsulated pegylated nanoparticles. The drug/pegylated nanoparticle containing the attached targeting agent (TA) is shown in FIG. 2. However, if desired, the targeting agent can be attached to the CA on the functionalized composition prior to combination with the non-functionalized composition. In this way, the resulting nanoparticle already has the targeting agent in place when the nanoparticle composition, with or without the incorporated drug or diagnostic agent, is formed. This embodiment of the invention requires that the TA is of sufficient composition to retain biological activity despite treatment with the emulsion or related procedures required to form the nanoparticles.

[0027] The method used for combining the functionalized and non-functionalized compositions to form immunonanoparticles can be any of the conventional polymerization procedures that are well known for forming copolymers including solution polymerization and the like. The preferred method for formation of the nanoparticles is the emulsion/solvent evaporation method.

[0028] The polymeric core of the immunonanoparticle can be loaded with a wide variety of payloads including drugs and diagnostic agents. The procedures for loading polymeric nanoparticles with such small payloads are well known. The preferred method is to load the drug with the polymers and form the nanoparticles with the emulsion/solvent evaporation method. Exemplary drugs include small molecules, peptides, recombinant proteins, antisense agents and polymers, and plasmid DNA. Exemplary diagnostic agents include peptide or antisense radiopharmaceuticals or

magnetopharmaceuticals, or small molecule radiopharmaceuticals or small molecule magnetopharmaceuticals.

[0029] The immunonanoparticles in accordance with the present invention may be used in vivo as a drug or diagnostic agent or they may be used in vitro for diagnostic or research purposes. When used in vivo, the immunonanoparticles may be combined with any suitable pharmaceutical carrier for intravenous administration. Intravenous administration is the preferred route since it is the least invasive. Other routes of administration are possible, if desired. Suitable pharmaceutically acceptable carriers include saline, Tris buffer, phosphate buffer or any other aqueous solution typically used as a carrier for drugs and diagnostic agents.

[0030] A therapeutically effective amount of the immunonanoparticles will vary widely depending upon the individual being treated and the particular drug being administered. The appropriate dose will be established by procedures well known to those of ordinary skill in the art.

[0031] The following examples describe the preparation and use of functionalized compositions in accordance with the present invention. The functionalized compositions include a series of copolymers composed of a poly( $\alpha$ -hydroxy acid) polymer block chain covalently linked to a poly(ethylene glycol) (PEG) terminated with an active maleimide function (i.e., maleimide-poly(ethylene glycol)-*block*-poly( $\alpha$ -hydroxy acid) copolymers). The copolymers can be used to prepare pegylated nanoparticles (PN), microspheres or any other device for biomedical applications and permits the conjugation of thiolated ligands. The ligands include endogenous peptides or peptidomimetic monoclonal antibodies (MAb) that bind to specific receptors in vivo and trigger transfer of the nanoparticle across biological barriers using endogenous receptor-mediated transcytosis and endocytosis systems. The conjugation of a receptor-specific MAb to the PN results in the formation of a pegylated immunonanoparticle (PIN).

[0032] The maleimide-PEG-PLA copolymers described in the following examples possess a terminal chemically active maleimide function, which is synthesized from the starting monomer and the bifunctional PEG moiety shown in FIG. 3A, which has a free hydroxyl group at one end, for covalent attachment to the PLA polymer, and a maleimide group at the other end for conjugation to the thiolated ligand. Once included in the structure of nanoparticles or any other device, the maleimide-PEG moiety of maleimide-PEG-PLA is exposed in aqueous solution and the terminal chemically active maleimide function is available for reacting with thiol groups on the TA. The maleimide function can react under mild conditions with thiol groups to form a covalent linkage between the molecules to be conjugated and the PEG-coated device.



[0033] The synthesis of PLA and PGA homopolymers and of PLGA copolymers is based on the ring opening polymerization of lactide or/and glycolide in the presence of a catalyst (generally stannous octoate) under moisture-free conditions in the presence of an organic solvent (toluene, xylene) (25). To prepare methoxy-PEG- poly( $\alpha$ -hydroxy)acids, the same procedures are used (12, 13 and 26). In this case, the hydroxyl end group of methoxy end-capped PEG initiates the polymerization by a nucleophilic attack of the lactide or glycolide cyclic dimers and is covalently linked to the PLA chain in formation. The length of the poly( $\alpha$ -hydroxy)acid block depends on the ratio between the amount of cyclic dimers and the amount of initiating methoxy end-capped PEG in the reaction medium. The reaction yield is generally high. Maleimide-PEG-PLA is prepared using the same method with maleimide-PEG and lactide cyclic dimers as starting material. The hydroxyl end group of maleimide-PEG initiates the polymerization of lactide cyclic dimers.

[0034] The following examples set forth the synthesis of pegylated immuno-nanoparticles (PIN). The PIN synthesis included the initial synthesis of a copolymer composed of maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub>, which is produced from a bifunctional PEG<sub>3500</sub> containing a maleimide moiety at one terminus and a free hydroxyl group at the other terminus (FIG. 3A). The maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> copolymer was blended with a non-functionalized composition (methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub>) to prepare pegylated nanoparticles, which were then conjugated to a thiolated targeting MAb in order to obtain the final PIN product.

[0035] The following examples of the synthesis of maleimide-PEG-*block*-poly(lactide) (PLA) functionalized compositions is limited to only one type of maleimide-poly(ethylene glycol)-*block*-poly( $\alpha$ -hydroxy acid) copolymers. It will be understood by those skilled in the art that the present invention and the synthesis procedures also cover any cyclized ( $\alpha$ -hydroxy)acids (Lactide, glycolide) and a wide range of copolymers made of poly(lactic acid), poly(glycolic acid) or poly(lactic-co-glycolic acid) of various molecular weights linked to PEG-maleimide (maleimide-PEG-*block*-poly( $\alpha$ -hydroxy)acid copolymers of various molecular weights.

[0036] A preferred exemplary functionalized composition in accordance with the present invention is the maleimide-poly(ethylene glycol)-*block*-poly(lactide) (maleimide-PEG-PLA) shown in Fig. 4B. For any of the proposed applications, the copolymer is preferably be blended with a non-functionalized composition, such as poly(lactic acid), poly(glycolide) (PGA) or poly(lactide-co-glycolide) (PLGA) and/or copolymers of PLA, PGA or PLGA linked to methoxy-PEG. An example of a non-functionalized composition is PEG of 3500 Daltons linked to 40,000 Dalton PLA as shown in FIG. 4A. In the case of nanoparticles or of microspheres, the PLA moieties will constitute the core of the nanoparticles and the PEG moiety the hydrophilic layer covering the surface of the

nanoparticle core (FIG. 3B). This ‘corona’ of PEG minimizes the rapid uptake of the nanoparticle by the reticulo-endothelial system (RES) (27). The maleimide-PEG-PLA copolymer, anchored in the core of the nanoparticles through the PLA moiety has its maleimide function protruding out of the PEG layer that surrounds the nanoparticles and is available to conjugate to any kind of thiolated molecules (protein, glycoprotein or others). Such molecules are activated by a thiolating reagent (2-iminothiolane or Traut’s reagent, for example). Under mild conditions, compatible with the retention of the biomolecules’ activities, the maleimide function will react with thiol groups of these thiolated biomolecules, to form a covalent linkage between the nanoparticles and the biomolecules. An exemplary application is the use of immunonanoparticles to deliver drugs (or genes) to specific target sites via special receptor systems expressed at the target site (Fig. 3B). The same procedure can be applied to any device containing this novel copolymer. Therefore, maleimide-PEG-PLA can have numerous applications for drug targeting, for diagnostic purpose, and for any other composition made of PLA, PGA or PLGA that needs to have its surface modified by covalent conjugation of a particular molecule.

**[0037] Materials.** Ethyl acetate (99.5% pure) and stannous octoate (95% pure) were obtained from Sigma Chemical Co. (St. Louis, MO). Toluene (99.8% pure), dichloromethane (>99.9% pure), ethyl ether (98% pure), calcium hydride (95% pure), L-lactide (98% pure) were purchased from Aldrich (Milwaukee, WI). Methoxypolyethyleneglycol, Mn=2600 Daltons (MethoxyPEG<sub>2600</sub>) was obtained from Shearwater Polymers, Inc. (Huntsville, AL). Hydroxy-polyethyleneglycol-maleimide (FIG. 3A), Mn=3501 (Maleimide-PEG<sub>3500</sub>) was custom-synthesized by Shearwater Polymers, Inc. The number average molecular weights (Mn) are per the manufacturer’s specifications. Anti-mouse IgG immunoglobulin gold (10nm) conjugate ( $1.4 \times 10^{13}$  particles/ml) was obtained from Sigma. Ethyl acetate and toluene were dried and distilled under argon over calcium hydride. L-lactide was dried under vacuum (180 mm Hg) at 70°C for 30min, re-crystallized from dry ethyl acetate, and then dried at 70°C under an argon flow. Stannous octoate was distilled under vacuum, the fraction distilling at 160°C and 30 mm Hg corresponding to the purified compound as assessed by <sup>1</sup>H-NMR. MethoxyPEG<sub>2600</sub> was dried under vacuum and under magnetic stirring at 70°C for 30min. Maleimide-PEG<sub>3500</sub> was dried under vacuum at room temperature for 30min.

**[0038] Copolymer synthesis and characterization.** The methoxypolyethyleneglycol<sub>2600</sub>-poly(lactic acid)<sub>40000</sub>, designated methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> copolymer (non-functionalized composition) and the maleimide-polyethyleneglycol<sub>3500</sub>-poly(lactic acid)<sub>40000</sub>, designated maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> (functionalized composition) were synthesized by ring opening polymerization in

dry toluene under moisture-free high-purity argon atmosphere, according to a method adapted from Bazile et al. (12). For the methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> synthesis, 3.75g recrystallized L-lactide was combined with 208mg methoxyPEG<sub>2600</sub> and 20 mg stannous octoate, as solutions in dry toluene (respective concentrations: 62.5mg/ml and 20mg/ml). For the maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> synthesis, 3.75g purified L-lactide was combined with 294 mg maleimide-PEG<sub>3500</sub> and 20 mg stannous octoate, as solutions in dry toluene (respective concentrations: 150mg/ml and 20mg/ml). In both cases, the final volume of dry toluene was 10ml. The polymerization was then carried out under magnetic stirring and under moisture-free high-purity argon atmosphere at 110°C for 2h. At the end of the polymerization step, the copolymers were purified and recovered as follows. To the reaction medium, slightly cooled dichloromethane (10ml) was added. The copolymers were then precipitated by addition of 30ml ethyl ether and recovered on a Buchner funnel with a fritted disk. They were re-dissolved in 20ml dichloromethane, precipitated by 20ml ethyl ether and recovered on the same filter. They were then dried at 70°C under an argon flow for 30min, and under vacuum at 70°C for 1h. The two copolymers were analyzed by <sup>1</sup>H and <sup>13</sup>C NMR spectroscopy at 300.0K using a Bruker Advance 500 spectrometer operating at 500 MHz and with deuteriochloroform as the solvent. Chemical shifts in ppm ( $\delta$ ) were determined using the chloroform signals at 7.26ppm (<sup>1</sup>H) or at 77.00ppm (<sup>13</sup>C) as references. The integrals of the peaks corresponding to the PLA methylene protons ( $\delta$  5.1 ppm) and the PEG methylene protons ( $\delta$  3.6 ppm) were used to determine the weight ratio of PLA to PEG and to calculate the average number molecular weight (Mn) of the PLA moiety (13). The integral of the peak corresponding to the maleimide protons ( $\delta$  6.7 ppm) was used to check for the preservation of the maleimide function in the synthesized maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub>.

**[0039] Pegylated nanoparticle preparation.** Nanoparticles were made of a blend of methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> and maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub>. They were prepared using the emulsion/solvent evaporation technique (14). Fifty  $\mu$ l of water (aqueous inner phase) was emulsified by sonication (30s) using a probe sonicator (Branson sonifier cell disruptor) at the maximum power in 1ml of a solution of methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> (24.2mg/ml) and maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> (0.8mg/ml) in dichloromethane (intermediate organic phase). This primary emulsion was then emulsified by sonication (30s) in 2ml of a 1% sodium cholate aqueous solution (aqueous outer phase). The w/o/w emulsion thus obtained was diluted into 38ml of a 0.5 % sodium cholate aqueous solution under rapid magnetic stirring. After 1min, dichloromethane was evaporated at low pressure and at 40°C using a Büchi R-3000 rotary evaporator (rotation speed set at 90% maximum speed). Nanoparticles were then centrifuged at 45000g (using a J2-21 Beckman Centrifuge equipped with a JA-20 rotor) and, after discarding the supernatant, they were

resuspended in 0.5ml of a 0.01M HEPES buffer pH 7 containing 0.15M NaCl and 0.1mM EDTA. The mean (number based) diameter of the nanoparticles was determined by quasi-elastic light scattering (QELS) using a Microtrac Ultra Particle Analyzer (Leeds-Northrup, St. Petersburg, FL). Nanoparticles were also observed by transmission electron microscopy. Nanoparticle concentration was determined by turbidimetry.

**[0040] Pegylated immunonanoparticle preparation** The anti-rat transferrin receptor OX26 MAb was harvested from serum-free OX26 hybridoma-conditioned media using routine methods and purified by protein G Sepharose affinity chromatography. OX26 MAb was radiolabelled with N-succinimidyl[2,3-<sup>3</sup>H]propionate using methods well known. The [<sup>3</sup>H]-OX26 MAb had a specific activity of 0.12 mCi/mg and a TCA precipitability of 95%. OX26 MAb (1mg, 6.65nmol) supplemented with [<sup>3</sup>H]-OX26 MAb (1μCi) was thiolated by reacting for 60min with a 40:1 molar excess of 2-iminothiolane (Traut's reagent) in 0.15M sodium borate buffer, pH=8 supplemented with 0.1mM EDTA. The buffer was exchanged with 0.01M HEPES pH=7 containing 0.15M NaCl and 0.1mM EDTA using a Centricon YM-30 concentrator tube (Amicon) and thiolated OX26 MAb was concentrated to a volume of 50μl. Thiolated OX26 MAb was then mixed with nanoparticles at a thiolated OX26 MAb: maleimide molar ratio of 1:3. The volume of the mixture was 1ml and the conjugation of the MAb to the pegylated nanoparticle was performed overnight on a rotating plate set at a low speed. The reaction mix was then applied to a 1.5x20cm Sepharose CL-4B column and was eluted with 0.05M HEPES buffer pH=7. To determine the OX26 MAb concentration in each collected fraction, aliquots (100μl) of the column eluate were analyzed by scintillation counting using a Tricarb 2100TR Liquid Scintillation Analyzer (Packard Instrument Co., Downers Grove, IL). The PIN fractions were milky and were visually identified and collected, and the nanoparticle concentration was determined by turbidimetry. The average number of OX26 MAb molecules conjugated per nanoparticle was calculated by dividing the number of OX26 MAb's in each fraction by the calculated average number (n) of nanoparticles using the following equation:

$$n = 6m/(\pi D^3 \rho)$$

where m is the nanoparticle weight, D the number based mean nanoparticle diameter determined by quasielastic light scattering (QELS), ρ the nanoparticle weight per volume unit (density), estimated to be 1.1 g/cm<sup>3</sup>.

**[0041] Electron microscopy of gold-labeled pegylated immunonanoparticles.** PIN-conjugated OX26 MAb was examined using a conjugate of 10 nm gold and an anti-mouse secondary antibody (Sigma). The PIN (10-30 μg) was incubated with undiluted IgG gold conjugate ( $5-15 \times 10^{11}$  gold

particles) for 1 hour in 0.018 M Tris buffered saline, pH=8, with 0.9% bovine serum albumin, and 17% glycerol in a total volume of 58  $\mu$ L, and then examined directly with electron microscopy.

[0042] Morphological evaluation was also performed for the pegylated nanoparticles without gold staining. Transmission electron microscopy was performed using a Jeol JEM-100CX II electron microscope at 80kV. The nanoparticles were deposited on a 200 mesh formvar-coated copper grid followed by negative staining with 1-2% (w/v) phosphotungstic acid solution, pH=7. Negatives, taken at a 14,000 and 29,000 magnification, were scanned and enlarged in Adobe Photoshop 5.5 on a G4 Power Macintosh.

[0043] **Dry weight content and turbidimetry measurements.** The weight percent yield of the nanoparticle preparation method was calculated from the dry-weight content of the suspensions after nanoparticle washing with water. Three nanoparticle batches were prepared as described, except that after preparation nanoparticles were resuspended with 1ml water. Half was used as standard nanoparticle suspension for calibrating the turbidimetry method, the other half was used for dry-weight content determination. The nanoparticles were diluted with 50ml water, centrifuged at 45000g and at 4°C for 45min and, after discarding the supernatant, was re-dispersed in 250 $\mu$ l water. Nanoparticles were then dried at 80°C for 16h in a pre-weighed glass tube. The dry-weight was then measured and used to calculate the percent yield and the nanoparticle concentration of the standards.

[0044] Nanoparticle concentration was determined by turbidimetry measurements at 400nm. The method was calibrated using the nanoparticle preparations the dry-weight contents of which were determined. The turbidity versus concentration response was linear over a concentration ranging from 0.05 to 1.00mg/ml dry-weight content ( $r=0.9998$ , slope=0.9192, intercept = 0.0014).

[0045] The synthesized methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> and maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> copolymers had typical <sup>1</sup>H (FIGS. 4A and 4B) and <sup>13</sup>C NMR (not shown) spectra and chemical shifts (Table 1) were in agreement with previously published data on PEG-PLA copolymers (17) and with NMR reference data available on maleimide functions. The number average molecular weights ( $M_{nPLA}$ ) of methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> and of maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> as determined by <sup>1</sup>H NMR analysis are given in Table 2. Determined  $M_{nPLA}$  for both copolymers were around 80% of values expected from the feed. For maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> the ratio of the peak area for maleimide-PEG<sub>3500</sub> methylene protons versus the peak area for maleimide protons was 220, which was close to the ratio of 189 determined for the maleimide-PEG<sub>3500</sub> used for the synthesis. This indicated that the maleimide function was mostly preserved in the final product.

TABLE 1

$^1\text{H}$  and  $^{13}\text{C}$  NMR chemical shifts of protons and carbons of the two copolymers synthesized. Signals from the methoxy end groups of the methoxyPEG-PLA copolymer, from the  $\alpha$ -methylene groups of PLA-connecting ethylene oxide units, from the hydroxylated lactyl end units or from the carbons involved in the maleimide end groups of the maleimide-PEG-PLA copolymer were not detected by the method.

Groups	Peak assignments on Fig. 2A and 2B ( $^1\text{H}$ NMR spectra)	Chemical shifts $\delta$ (ppm)			
		MethoxyPEG-PLA		Maleimide-PEG-PLA	
		$^1\text{H}$ NMR	$^{13}\text{C}$ NMR	$^1\text{H}$ NMR	$^{13}\text{C}$ NMR
$\text{CH}_3^a$	c	1.56 (doublet)	16.58	1.56 (doublet)	16.56
$\text{CH}^a$	b	5.15 (quartet)	68.95	5.14 (quartet)	68.93
$\text{C=O}^a$		-	169.54	-	169.51
$\text{CH}_2^b$	a	3.63 (singlet)	70.51	3.62 (singlet)	70.49
CH of maleimide	d	none	none	6.69 (singlet)	too low signal

<sup>a</sup> from lactyl main units of PLA, <sup>b</sup> from ethylene oxide units of PEG

TABLE 2

Number average molecular weight data for the copolymers.  $\text{Mn}_{\text{PEG}}$  of methoxyPEG and of Maleimide-PEG are provider's data,  $\text{Mn}_{\text{PLA}}$  were determined by  $^1\text{H}$  NMR.

Copolymers	Copolymer weight ratio ( $\text{Mn}_{\text{PEG}}:\text{Mn}_{\text{PLA}}$ )	
	Theoretical (feed)	Determined by $^1\text{H}$ NMR
MethoxyPEG-PLA	2600:46900	2600:37900
Maleimide-PEG-PLA	3501:45700	3501:36900

[0046] The pegylated nanoparticles were generally spherical and of regular size when examined by TEM (FIG. 5). Most pegylated nanoparticles were around 100 nm or below in diameter. A few were 200 to 300 nm in diameter. By QELS, the nanoparticle size distribution was monodisperse. The number-based nanoparticle diameter determined by QELS was  $121 \pm 5 \text{ nm}$  ( $n=3$ ) with a standard deviation (describing the width of the nanoparticle size distribution) of  $34 \pm 3 \text{ nm}$  ( $n=3$ ). The percent yield based on the dry weight content ( $17.8 \pm 0.8 \text{ mg/ml}$ ) determined by turbidimetry was calculated to be  $63.7 \pm 4.9\%$  ( $n=3$ ).

[0047] FIG. 6 is an example of elution profile of the PINs obtained by Sepharose CL-4B gel filtration chromatography. Due to their sizes, the PINs eluted in fractions corresponding to the void volume of the column (from 8 to 11 ml), whereas the unconjugated OX26 MAb eluted in later fractions (from 17 to 32 ml). The average number of OX26 MAb's conjugated per nanoparticle of 121 nm in diameter was calculated for each fraction (Table 3), which gives a mean of  $67 \pm 4$  OX26 MAb per nanoparticle. FIG. 7 is a TEM where the OX26 MAb conjugated to the pegylated

nanoparticle was visualized by binding to a conjugate of 10 nm gold and an anti-mouse IgG. The PIN is surrounded by a corona of gold particles owing to the presence of the OX26 MAb conjugated to the surface of the pegylated nanoparticles.

**TABLE 3**

Concentrations in immunonanoparticles and in OX26 MAb, and the calculated average number of OX26 MAb per an average nanoparticle of 121 nm. The values were determined in the fractions containing immunonanoparticles and obtained by a Sepharose CL-4B gel filtration chromatography

Experiment number	Fraction number <sup>a</sup>	nanoparticle amount (mg) <sup>b</sup>	amount of OX26 MAb (nmol) <sup>c</sup>	average number of OX26 MAb per nanoparticle
1	9	2.8	0.31	65
	10	10.1	1.14	68
	11	5.3	0.64	71
2	9	1.9	0.18	60
	10	8.8	0.95	65
	11	3.4	0.36	64
3	8	0.5	0.06	76
	9	7.4	0.83	67
	10	5.4	0.60	67
	11	0.7	0.07	65

<sup>a</sup> 1ml per fraction, <sup>b</sup> determined by turbidimetry, <sup>c</sup> determined by radioactivity measurements

[0048] The pegylated immunonanoparticles are designed to permit specific drug targeting to organs in vivo based on the receptor specificity of the targeting MAb. The immunonanoparticles may also be used in vitro for diagnostic purposes. The PINs were prepared with two copolymers: methoxyPEG-PLA, as the major non-functionalized component, and maleimide-PEG-PLA, as the minor functionalized copolymer to which can be conjugated any thiolated targeting ligand (FIGS. 3-4). The molecular weight (2600) of the methoxyPEG moiety was selected to form a PEG “corona” around the PLA nanoparticle core that inhibits the nanoparticle uptake by the RES, with an expected in vivo blood half-life of several hours (6). A higher molecular weight was chosen for the PEG spacer (3500) of the maleimide-PEG-PLA so that the maleimide function would protrude from the corona in order to be available for conjugation. Copolymers were synthesized by ring-opening polymerization of L-lactide, using stannous octoate as a catalyst. The polymerization initiators were methoxyPEG<sub>2600</sub> or maleimide-PEG<sub>3500</sub> in order to synthesize methoxyPEG<sub>2600</sub>-PLA and maleimide-PEG<sub>3500</sub>-PLA, respectively. Performed in an organic solvent (toluene or xylene), this method allows control of the length of the PLA chain and a low polydispersity (20). The NMR spectra confirmed

the synthesis of both copolymers and permitted the calculation of the number average molecular weight ( $M_n$ ) of the PLA moieties (FIGS. 4A and 4B, and Table 1). The maleimide protons are observed at  $\delta$  6.69 with a surface area ratio versus the PEG methylene proton ( $\delta$  3.62) close to the ratio calculated for the maleimide-PEG<sub>3500</sub>. This result shows that the maleimide function was not altered during the synthesis. Molecular weights of the PLA moieties calculated from the <sup>1</sup>H-NMR spectra for both copolymers were almost equal and were 80% of the values expected from the L-lactide feed (Table 2).

[0049] In the preceding example, the immunonanoparticles were prepared with methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub> and maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> by the multiple emulsion/solvent evaporation method such that the inner aqueous phase can dissolve hydrophilic drugs and diagnostic agents to be entrapped within the nanoparticle core. Such drugs and diagnostic agents include a wide variety of small molecules, proteins, and nucleic acids (7, 9, 13 and 28). Sodium cholate was chosen as surfactant as previous work showed that cholate did not interfere with blood coagulation factors (21) and caused a reduction in both the hydrodynamic diameter and polydispersity index (5). Due to the hydrophilicity of PEG and to the hydrophobicity of PLA, resulting in a phase-separation of the two blocks in water, PEG moieties orient themselves towards the aqueous phase forming a “corona” layer around the PLA nanoparticle core (7 and 12). Some PEG chains may have an orientation toward the inner phase of the nanoparticles, when the multiple emulsion is prepared, and may be trapped within the inner aqueous phase during the hardening of the polymeric PLA core (13). The maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub> was blended with methoxyPEG<sub>2600</sub>-PLA<sub>40000</sub>, according to a methoxyPEG:maleimide-PEG ratio of 1:30. This ratio was based on methods used for preparing pegylated immunoliposomes (2 and 22). Stable nanoparticles with satisfactory yields and concentrations were obtained with the pegylated nanoparticles having mean diameters of 121±5 nm and the nanoparticles appeared spherical by TEM (FIG. 5).

[0050] The procedure for antibody conjugation to the pegylated nanoparticle was derived from the method used to prepare pegylated immunoliposomes (2). The OX26 MAb, directed against the rat transferrin receptor, was chosen for the conjugation because prior work with PILs showed this MAb allowed for targeted drug delivery to transferrin receptor-rich organs such as brain of either small molecules (2 and 22) or non-viral plasmid DNA (23). The OX26 MAb was thiolated with 2-iminothiolane (2). A ratio of MAb:2-iminothiolane of 1:40 (mol/mol) was shown to provide the thiolation of an average of one primary amine per MAb (2). The thiolation of the OX26 MAb does not interfere with its target recognition (24). The OX26 MAb was conjugated to pegylated nanoparticles by formation of a thioether bond between the thiol groups of the MAb and the



maleimide moiety at the distal end of maleimide-PEG<sub>3500</sub>-PLA<sub>40000</sub>. A reproducible coupling was achieved using a OX26 MAb: maleimide ratio of 1:3. Surface-linked OX26 MAb was detected by electron microscopy using a conjugate of anti IgG secondary antibody and 10 nm gold (FIG.7). The number of OX26 MAb's conjugated per nanoparticle of 121nm in diameter was calculated to be 67±4 (Table 3). This number should be sufficient for a wide variety of tissue targeting including brain targeting, since comparable degrees of MAb conjugation to pegylated liposomes with the OX26 MAb allows for effective drug or gene targeting to the brain (2 and 23).

[0051] In the preceding example of the present invention, a maleimide-PEG-PLA copolymer was synthesized from maleimide-PEG and lactide dimers by ring opening polymerization, without altering the maleimide function. This copolymer was blended with methoxyPEG-PLA to prepare functionalized pegylated PLA nanoparticles. A thiolated monoclonal antibody directed against the rat transferrin receptor was conjugated to these nanoparticles to obtain pegylated immunonanoparticles that are useful in providing targeted drug and diagnostic agent delivery of a variety of pharmaceuticals including small molecules, proteins, and gene medicines.

[0052] The maleimide-PEG-*block*-poly( $\alpha$ -hydroxy)acid functionalized compositions in accordance with the present invention permit the conjugation of any thiolated molecules to any material or device that incorporate them. The conjugation can be carried out on preformed materials in mild conditions that retain the biological activities of biomolecules. They therefore can have numerous therapeutic and/or biomedical applications: drug targeting, diagnostic purpose (in vitro or in clinics). Advantageously, the synthesis of the functionalized compositions does not necessitate particular equipment, and actual equipment designed to prepare poly( $\alpha$ -hydroxy)acid polymers or methoxyPEG-*block*-poly( $\alpha$ -hydroxy)acid copolymers can easily be adapted to prepare the functionalized compositions and immunonanoparticles in accordance with the present invention.

[0053] Having thus described exemplary embodiments of the present invention, it should be noted by those skilled in the art that the within disclosures are exemplary only and that various other alternatives, adaptations and modifications may be made within the scope of the present invention. Accordingly, the present invention is not limited to the above preferred embodiments and examples, but is only limited by the following claims.

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